IN THE UNITED STATES DISTRICT COURT	
FOR THE SOUTHERN DISTRICT OF NEW YORK	Z

X OLIVER L. VELEZ  $\mathbf{X}$ Case No.: 1:07-cv-08524-AKH X X Plaintiff, **RULE 7.1 DISCLOSURE ON** X **BEHALF OF FINANICIAL**  $\mathbf{X}$ **INDUSTRY REGULATORY** -against- $\mathbf{X}$ **AUTHORITY, INC.** X FINANCIAL INDUSTRY REGULATORY X AUTHORITY, GREG CAPRA AND  $\mathbf{X}$ PRISTINE CAPITAL HOLDINGS, INC.  $\mathbf{X}$  $\mathbf{X}$ Defendants. X X

Pursuant to Fed. R. Civ. P 7.1, Financial Industry Regulatory Authority, Inc. states that it is a private, not-for-profit Delaware corporation that has no stock or parent corporation.

Therefore no publicly held corporation owns 10% or more of any FINRA stock.